



# Electronic Filing System (EFS) Data

## Electronic Patent Application Submission

### USPTO Use Only

EFS ID: 46305  
Application ID: 10628971   
Title of Invention: METHOD FOR LUNG VOLUME REDUCTION  
First Named Inventor: Michael Biggs  
Domestic/Foreign Application: Domestic Application  
Filing Date: 2003-07-28  
Effective Receipt Date: 2003-08-22  
Submission Type: Information Disclosure Statement  
Filing Type:  
Confirmation number: 7121  
Attorney Docket Number: P003000  
  
Total Fees Authorized: 180.0  
Payment Category: Deposit Account  
Deposit Account Number: 502709  
Deposit Account Name: Sanjay S. Bagade  
Access Code: \*\*\*\*  
RAM Payment Status: RAM success  
RAM User ID: EFSPROD  
RAM Accounting Date: 2003-08-22  
RAM Sequence Number: 34

Digital Certificate Holder: cn=Sanjay S. Bagade,ou=Registered Attorneys,ou=Patent and Trademark Office,ou=Department of Commerce,o=U.S. Government,c=US  
Certificate Message Digest: 44efd926a359ab94a02abc4b897c52bbe40d5c6



## TRANSMITTAL

Electronic Version v1.1

Stylesheet Version v1.1.0

|   |  |                |
|---|--|----------------|
| Title of Invention  | METHOD FOR LUNG VOLUME REDUCTION   |                |
| Application Number:   | 10/628971  |                |
| Date:   | 2003-07-28   |                |
| First Named Applicant:  | Michael J. Biggs   |                |
| Confirmation Number:  | 7121   |                |
| Attorney Docket Number:   | P003000  |                |
| <p>I hereby certify that the use of this system is for OFFICIAL correspondence between patent applicants or their representatives and the USPTO. Fraudulent or other use besides the filing of official correspondence by authorized parties is strictly prohibited, and subject to a fine and/or imprisonment under applicable law.</p>  |  |                |
| <p>I, the undersigned, certify that I have viewed a display of document(s) being electronically submitted to the United States Patent and Trademark Office, using either the USPTO provided style sheet or software, and that this is the document(s) I intend for initiation or further prosecution of a patent application noted in the submission. This document(s) will become part of the official electronic record at the USPTO.</p> |  |                |
| Submitted by:   | Elec. Sign.  | Sign. Capacity |
| Sanjay S Bagade<br>Registered Number: 42,280  | Sanjay S. Bagade   | Attorney       |
| Documents being submitted   | Files  |                |
| us-fee-sheet  | P003000081503ids-usfees.xml<br>us-fee-sheet.xls<br>us-fee-sheet.dtd                          |                |
| us-ids  | P003000081503ids-usidst.xml<br>us-ids.dtd<br>us-ids.xls                                      |                |

**Comments**





## FEE TRANSMITTAL

Electronic Version v08  
Stylesheet Version v08.0

| Title of Invention   | METHOD FOR LUNG VOLUME REDUCTION |                 |             |           |             |   |      |     |     |  |  |
|--|----------------------------------|-----------------|-------------|-----------|-------------|---|------|-----|-----|--|--|
| Application Number:  | 10/628971                        |                 |             |           |             |   |      |     |     |  |  |
| Date:  | 2003-07-28                       |                 |             |           |             |   |      |     |     |  |  |
| First Named Applicant:   | Michael J. Biggs                 |                 |             |           |             |   |      |     |     |  |  |
| Attorney Docket Number:  | P003000                          |                 |             |           |             |   |      |     |     |  |  |
| <b>TOTAL FEE AUTHORIZED \$180</b>  |                                  |                 |             |           |             |   |      |     |     |  |  |
| Patent fees are subject to annual revisions on or about October 1st of each year.  |                                  |                 |             |           |             |   |      |     |     |  |  |
| <b>BASIC FILING FEE</b>  |                                  |                 |             |           |             |   |      |     |     |  |  |
| <table border="1"><thead><tr><th>Fee Description</th><th>Fee Code</th><th>Amount \$</th><th>Fee Paid \$</th></tr></thead><tbody><tr><td>Submission Of Information Disclosure Stmt Fee</td><td>1806</td><td>180</td><td>180</td></tr></tbody></table> |                                  | Fee Description | Fee Code    | Amount \$ | Fee Paid \$ | Submission Of Information Disclosure Stmt Fee | 1806 | 180 | 180 |  |  |
| Fee Description  | Fee Code                         | Amount \$       | Fee Paid \$ |           |             |   |      |     |     |  |  |
| Submission Of Information Disclosure Stmt Fee  | 1806                             | 180             | 180         |           |             |   |      |     |     |  |  |
| <b>AUTHORIZED BILLING INFORMATION</b>  |                                  |                 |             |           |             |   |      |     |     |  |  |
| The commissioner is hereby authorized to charge indicated fees and credit any overpayments to:   |                                  |                 |             |           |             |   |      |     |     |  |  |
| Deposit account number: 502709   |                                  |                 |             |           |             |   |      |     |     |  |  |
| Access Code  | *****                            |                 |             |           |             |   |      |     |     |  |  |
| Deposit name:  | Broncus Technologies             |                 |             |           |             |   |      |     |     |  |  |
| Deposit authorized name:   | Sanjay S. Bagade                 |                 |             |           |             |   |      |     |     |  |  |
| Signature:   | Sanjay S. Bagade                 |                 |             |           |             |   |      |     |     |  |  |
| Date (YYYYMMDD):   | 2003-08-15                       |                 |             |           |             |   |      |     |     |  |  |
| Charge Any Additional Fee Required Under 37 C.F.R. Sections 1.16 and 1.17.   |                                  |                 |             |           |             |   |      |     |     |  |  |



## ELECTRONIC INFORMATION DISCLOSURE STATEMENT

Electronic Version v18

Stylesheet Version v18.0

| Title of Invention  | METHOD FOR LUNG VOLUME REDUCTION  |            |            |            |      |
|---|---|------------|------------|------------|------|
| Application Number:   | 10/628971    |            |            |            |      |
| Confirmation Number:  | 7121  |            |            |            |      |
| First Named Applicant:  | Michael Biggs   |            |            |            |      |
| Attorney Docket Number:   | P003000   |            |            |            |      |
| Search string:  | ( 5752965 or 6174323 or 6258100 or 6287290<br>or 6293951 or 6328689 or 6398775 or 6416554<br>or 6485407 or 6491706 or 6527761 or 6569166<br>or 6592594 or 20020062120 or 20020077593 or<br>20020112729 or 20020188171 or 20030013935<br>or 20030050648 or 20030065339 or<br>20030069488 or 20030070682 or 20030070683<br>or 20030075169 or 20030075170 or<br>20030078469 or 20030083542 or<br>20030083671 ).pn. |            |            |            |      |
| <b>US Patent Documents</b>  |   |            |            |            |      |
| Note: Applicant is not required to submit a paper copy of cited US Patent Documents |   |            |            |            |      |
| init  | Cite.No.  | Patent No. | Date       | Patentee   | Kind |
|   | 1   | 5752965    | 1998-05-19 | Francis    |      |
|   | 2   | 6174323    | 2001-01-16 | Biggs      |      |
|   | 3   | 6258100    | 2001-07-10 | Alfernness |      |
|   | 4   | 6287290    | 2001-09-11 | Perkins    |      |
|   | 5   | 6293951    | 2001-09-25 | Alfernness |      |
|   | 6   | 6328689    | 2001-12-11 | Gonzalez   |      |
|   | 7   | 6398775    | 2002-06-04 | Perkins    |      |
|   | 8   | 6416554    | 2002-07-09 | Alfernness |      |
|   | 9   | 6485407    | 2002-11-26 | Alfernness |      |
|   | 10  | 6491706    | 2002-12-10 | Alfernness |      |
|   | 11  | 6527761    | 2003-03-04 | Soltesz    |      |
|   | 12  | 6569166    | 2003-05-27 | Gonzalez   |      |
|   | 13  | 6592594    | 2003-07-15 | Rimbaugh   |      |

## US Published Applications

Note: Applicant is not required to submit a paper copy of cited US Published Applications

| init | Cite.No. | Pub. No.    | Date       | Applicant  | Kind | Class | Subclass |
|------|----------|-------------|------------|------------|------|-------|----------|
|      | 1        | 20020062120 | 2002-05-23 | Perkins    |      |       |          |
|      | 2        | 20020077593 | 2002-06-20 | Perkins    |      |       |          |
|      | 3        | 20020112729 | 2002-08-22 | DeVore     |      |       |          |
|      | 4        | 20020188171 | 2002-12-12 | Alfernness |      |       |          |
|      | 5        | 20030013935 | 2003-01-16 | Alfernness |      |       |          |
|      | 6        | 20030050648 | 2003-03-13 | Alfernness |      |       |          |
|      | 7        | 20030065339 | 2003-03-03 | Snyder     |      |       |          |
|      | 8        | 20030069488 | 2003-04-10 | Alfernness |      |       |          |
|      | 9        | 20030070682 | 2003-04-17 | Wilson     |      |       |          |
|      | 10       | 20030070683 | 2003-04-17 | Deem       |      |       |          |
|      | 11       | 20030075169 | 2003-04-24 | Deem       |      |       |          |
|      | 12       | 20030075170 | 2003-04-24 | Deem       |      |       |          |
|      | 13       | 20030078469 | 2003-04-24 | Corcoran   |      |       |          |
|      | 14       | 20030083542 | 2003-05-01 | Alfernness |      |       |          |
|      | 15       | 20030083671 | 2003-05-01 | Rimbaugh   |      |       |          |

## Signature

|               |      |
|---------------|------|
| Examiner Name | Date |
|               |      |